




PATENT  
Attorney Docket No. INK-069  
(2108/39)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Albert et al. CONFIRMATION NO.: 2022  
SERIAL NO.: 09/413,444 GROUP NO.: 2873  
FILING DATE: October 6, 1999 EXAMINER: Timothy J. Thompson  
TITLE: Encapsulated Electrophoretic Displays Having a Monolayer of  
Capsules and Materials and Methods for Making the Same

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 23<sup>rd</sup> day of October, 2003.

  
Diane Raicot

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg.);
2. Fee Transmittal Form (1 pg.);
3. check in the amount of \$55.00;
4. Response (3 pgs.);
5. Terminal Disclaimer (2 pgs.);
6. Labeled Formal Drawings (6 sheets);
7. Copy of Limited Authorization (1 pg.);
8. Return Receipt Postcard;

TECHNICAL STAFF

RECEIVED

NOV 11 2003

2873#

## TRANSMITTAL



Application Serial Number	09/413,444
Filing Date	October 6, 1999
First Named Inventor	Albert
Group Art Unit	2873
Examiner Name	Timothy J. Thompson
Attorney Docket No.	INK-069
Confirmation No.	2022
Patent No.	Not applicable
Issue Date	Not applicable

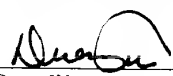
## ENCLOSURES (check all that apply)

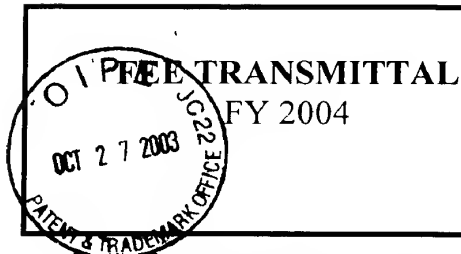
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input checked="" type="checkbox"/> Response (3 pgs) <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copy of IDS Citation <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input checked="" type="checkbox"/> Labeled Formal Drawings (6 sheets) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input checked="" type="checkbox"/> Terminal Disclaimer (2 pgs) <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Copy of Limited Authorization (1 pg)
---	---	--

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
 Date: October 23, 2003  
 Reg. No. Limited Recognition  
 Tel. No.: (617) 248-7808  
 Fax No.: (617) 248-7100  
 Duan Wu  
 Attorney for Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110



Complete if Known	
Application Serial Number	09/413,444
Filing Date	October 6, 1999
First Named Inventor	Albert
Group Art Unit	2873
Confirmation No.	2022
Examiner Name	Timothy J. Thompson
Attorney Docket No.	INK-069

METHOD OF PAYMENT				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other				3. ADDITIONAL FEES			
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.							
3. <input checked="" type="checkbox"/> Applicant claims small entity status.							
FEE CALCULATION							
1. FILING FEE							
Large Entity							
Fee (\$)	Fee Description		Fee Paid	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
770	Utility filing fee			130	65	Surcharge - late filing fee or oath	
340	Design filing fee			50	25	Surcharge - late provisional filing fee or cover sheet	
160	Provisional filing fee			130	130	Non-English specification	
				2,520	2,520	Request for ex parte reexamination	
				110	55	Extension for reply within first month	
				420	210	Extension for reply within second month	
				950	475	Extension for reply within third month	
				1480	740	Extension for reply within fourth month	
				2010	1005	Extension for reply within fifth month	
				330	165	Notice of Appeal	
				330	165	Filing a brief in support of an appeal	
				290	145	Request for oral hearing	
				130	130	Petitions to the Commissioner	
				180	180	Submission of Information Disclosure Statement	
				770	385	Filing a submission after final rejection (37 CFR 1.129(a))	
				770	385	For each additional invention to be examined (37 CFR 1.129(b))	
				100	100	Certificate of Correction for applicant's error	
				110	55	Submission of Terminal Disclaimer	55.00
				Other fee (Specify)			
				Other fee (Specify)			
TOTAL:							
SMALL ENTITY DISCOUNT:							
SUBTOTAL (1) (\$)				0.00			
2. AMENDMENT CLAIM FEES							
Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid			
Total	-	=	x \$ 18.00 =		SUBTOTAL (3) (\$)		
Indep.	-	=	x \$ 86.00 =		SUBTOTAL (1) 0.00		
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				+ \$290.00 =			
TOTAL: (\$)				SUBTOTAL (2) 0.00			
SMALL ENTITY DISCOUNT: (\$)				SUBTOTAL (3) 55.00			
SUBTOTAL (2) (\$)				0.00			
TOTAL (\$)				55.00			
CORRESPONDENCE ADDRESS				SIGNATURE BLOCK			
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100				Respectfully submitted,  Date: October 23, 2003 Reg. No.: Limited Recognition Tel. No.: (617) 248-7808 Fax No.: (617) 248-7100 Duan Wu Attorney for the Applicants Testa, Hurwitz & Thibault, LLP 115 High Street Tower-125 High Street Boston, MA 02110			



**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Duan Wu is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Testa, Hurwitz & Thibault, LLP to prepare and prosecute patent applications wherein the patent applicant is the client of Testa, Hurwitz & Thibault, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Testa, Hurwitz & Thibault, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Duan Wu ceases to lawfully reside in the United States, (ii) Duan Wu's employment with Testa, Hurwitz & Thibault, LLP ceases or is terminated, or (iii) Duan Wu ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: April 17, 2004**

Harry I. Moatz  
Director of Enrollment and Discipline

TECHNICAL  
RECEIVED  
OCT 27 2003